



DECLARATION FOR PATENT APPLICATION

Docket No: FERN-P004

As a below named inventor, I hereby declare that:

My residence, postal address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if multiple names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP

the specification of wl	nich is attached hereto unless the fo	ollowing information is indicate	xd:
	; ttes Application Number or PCT Ir nded on		er;
	ave reviewed and understand the color by any amendments referred to a		pecification, including
I acknowledge the dut	y to disclose information that is ma	aterial to patentability as define	d in 37 CFR 1.56.
patent or inventor's country other than the application for patent	a priority benefits under 35 U.S.C. ertificate, or 365(a) of any PCT Inter United States, listed below and has or inventor's certificate, or PCT Inwhich priority is claimed.	ernational application which de we also identified, as so indicate	signated at least one ed below, any foreign
Prior Foreign Application(s)			Priority Claimed
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
I hereby claim the ben	nefit under 35 U.S.C. 119(e) of any	United States provisional appli	cation(s) listed below.
(Application No.)	(Day/Month/Year Filed)		
International application of the claims of this application the manner provided that is material to pate	nefit under 35 U.S.C. 120 of any Union designating the United States, I pplication is not disclosed in the proy the first paragraph of 35 U.S.C. entability as defined in 37 C.F.R. 1 and the national or PCT Internation	listed below and, insofar as the rior United States or PCT Internal 112, I acknowledge the duty to .56 that became available between	subject matter of each national application in disclose information the filing date of
(Application No.)	(Day/Month/Year Filed)	(Status - patented, pendin	g, abandoned)



Page 2

Applion No.: (to be assigned)

Please send Correspondence to:

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(650) 529-9237

Or FAX:

(650) 529-9315

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and beliefs are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: IRENE HU FERNANDEZ		
Inventor's signature	Date	
Spine It Tunder	. 9/1/98	
Residence:		
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United States		
Postal Address:	•	
same as Residence		

Full name of joint inventor:	
DENNIS SUNGA FERNANDEZ	
Inventor's signature Date	
Damin Demandy 1 September 190	8
Residence:	
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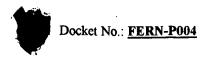


STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR

Docket Number: FERN-P004

Applicant, Patentee, or Identifier: Fernandez et	al
Application or Patent No <tba></tba>	
Filed or Issued: 1 SEPTEMBEI	
Title: ADAPTIVE DIRECT TRANSACTION	
As a below named inventor(s), I hereby state that CFR 1.9(c) for purposes of paying reduced fees to X the specification filed herewith with title the application identified above. the patent identified above.	
I have not assigned, granted, conveyed, or license	d, and am under no obligation under contract or law to
assign, grant, convey, or license, any rights in the	invention to any person who would not qualify as an person had made the invention, or to any concern which
business concern under 37 CFR 1.9(d) or a nonpre	ofit organization under 37 CFR 1.9(e).
Separate statements are required from each named invention stating their status as small entities. (37)	I person, concern, or organization having rights to the CFR 1.27)
	or patent, notification of any change in status resulting paying, or at the time of paying, the earliest of the on which status as a small entity is no longer
	NIS SUNGA FERNANDEZ E OF INVENTOR
Signature Jewaley Signature	ure Ture
1 SEPTEMBER 1998 1 SE Date Date	PTEMBER 1998





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Application No.: (Not yet assigned)

Group No.: (Not yet assigned)

Filed: 1 SEPTEMBER 1998

Examiner: (Not yet assigned)

Title:

ADAPTIVE DIRECT TRANSACTION FOR NETWORKED CLIENT GROUP

Inventors:

FERNANDEZ et al.

Assistant Commissioner for Patents Washington, D.C. 20231

SUBMISSION OF VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

The attached statement is being submitted to establish small entity status in this

<u>X</u>	Application
	Patent
by the	
<u>X</u>	Independent inventor(s) 37 CFR 1.9(c) and 1.27(b)
	Non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b) Small Business Concern 37 CFR 1.9(d) and 1.27(c)
	Nonprofit Organization 37 CFR 1.9(e) and 1.27(d)
Respe	ctfully submitted,
2001	July 1
DEN	NIS'S. FERNANDEZ, ESQ.
	No. 34,160
Dani	ATTO A TENNER FOR THE PARTY OF

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